

REQUEST FORM AND FORMALITIES PRELIMINARY AMENDMENT FOR
FILING A PATENT APPLICATION UNDER 37 C.F.R. 1.53(b)
Submit an original and a duplicate for fee processing

| THIS APPLICATION: | | PRIOR APPLICATION: | |
|-------------------|--|--------------------|----------|
| DOCKET NUMBER | FILING DATE | EXAMINER | ART UNIT |
| P60188US1 | 08/13/98 86793 U.S. PTO HEREWITH | L. Crane | 1623 |

Address to:

Assistant Commissioner of Patents
Box Patent Application
Washington, D.C. 20231

This is a request for filing a ☐ continuation or ☒ divisional application under 37 C.F.R. 1.53(b) of prior application Serial No. 08/591,466 filed on January 11, 1996, entitled **PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS** by the following inventor(s).

| Family Name | First Given Name | Second Given Name |
|-------------|------------------|-------------------|
| Ludmilla | BARANOVA | |
| Francois | CHATELAIN | |
| Viktor | KUMAREV | |

Enclosed is a copy of the prior application including the Declaration as originally filed.

- ☒ A Second Preliminary Amendment is enclosed.
- ☒ Cancel claim(s) 7-11 for purposes of lessening filing fees.

The filing fee is calculated on the basis of the claims existing in the prior application as amended by 1 and/or 2 above.

| | | | |
|--|--------|-----------|--------------|
| Basic Fee | | \$395 | \$790 |
| Total Claims | - 20 = | x 11 = \$ | x 22 = \$ |
| Ind. Claims | - 3 = | x 41 = \$ | x 82 = \$ |
| <input type="checkbox"/> Multiple Dependent Claims | | +135 = \$ | + 270 = \$ |
| | | Total \$ | Total \$ 790 |

3. ☒ [X] The Commissioner is hereby authorized to charge fees under 37 C.F.R. 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.
4. ☐ [] This application is filed under Rule 53(f) and therefore the ☐ [] Filing Fee ☐ [] Declaration is not enclosed.
5. ☒ [X] A check in the amount of \$790 is enclosed.
6. ☒ [X] Amend the specification by inserting before the first line the sentence:
This is a divisional of application Serial No. 08/591,466 filed January 11, 1996.
☒ [X] which in turn is a national stage of PCT/FR94/00842 filed July 7, 1994.
7. ☐ [] A verified statement claiming small entity status under 37 CFR 1.9 and 1.27:
a) ☐ [] was claimed by way of a declaration filed in prior application Serial No. ***.
b) ☐ [] is claimed by way of the attached declaration.
8. ☒ [X] Priority of application Serial No. **9308498**, filed on July 9, 1993 in France is claimed under 35 U.S.C. 119.
a) ☒ [X] Certified copy is on file in prior international application PCT/FR94/00842.
b) ☐ [] Certified copy filed herewith.
9. ☒ [X] The prior application is assigned of record to GENSET.
10. ☒ [X] The Power of Attorney in the prior application is to at least one of the following:
William E. Player, 31,409; John Clarke Holman, 22,769; Harvey B. Jacobson, Jr., 20,851; D. Douglas Price, 24,514; Marvin R. Stern, 20,640; Michael R. Slobasky, 26,421; Jonathan L. Scherer, 29,851; Irwin M. Aisenberg, 19,007.
a) ☒ [X] The power appears in the original papers of the prior application.
b) ☐ [] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ Petition to extend the life of the above prior application to at least the date hereof
(One box) ☒ is being concurrently filed in
NOTE: (must be) that prior application.
(X'd) ☐ was previously filed in that application.
☐ is not necessary.

If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17 (a)(1)-(5) to Deposit Account No. 06-1358.

12. ☐ New formal drawings are enclosed.

13. ☐ Enclosed is a copy of the Information Disclosure Statement (IDS) and PTO 1449 which was filed in Serial No. ***, filed *** which is relied on for an earlier filing date under 35 U.S.C. 120.

- a) ☐ More than one IDS was filed in the above Serial No. and copies of each IDS, less the documents, are enclosed.

14. ☐ Enclosed is a copy of the PTO 892 which issued in Serial No. ***, filed ***, which is relied on for an earlier filing date under 35 U.S.C. 120.

- a) ☐ More than one PTO 892 issued in the above Serial No. *** and copies of each PTO 892, less the documents, are enclosed.

15. ☐ Also enclosed is: ***.

Address all future correspondence to:

JACOBSON, PRICE, HOLMAN & STERN, PLLC
The Jenifer Building
400 Seventh Street, NW
Washington, D.C. 20004-2201
Telephone 202/638-6666
Customer No. 000136

JACOBSON, PRICE, HOLMAN & STERN, PLLC
400 Seventh Street, NW
Washington, D.C. 20004-2201
Telephone: (202) 638-6666
Atty. Docket: P60188US1

Respectfully submitted,

By: _____

William E. Player
Reg. No. 31,409

PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS

5 The present invention relates to a process for the synthesis of nucleic acids on a solid support. The present invention also relates to a solid support which is useful, in particular, in biotechnology and particularly in the process for the synthesis of nucleic acids according to the invention.

10 The present invention lastly relates to a process for the preparation of the said solid support.

The synthesis of nucleic acids on a solid support is used particularly in the automated synthesis of DNA or RNA oligonucleotides.

15 In the present Application, the terms "nucleic acids" is understood to refer to deoxyribonucleic acids or ribonucleic acids or, more generally, polynucleotides or oligonucleotides in which the bases, internucleotide phosphate bonds or the ribose rings of the bases may be chemically modified in a known manner. They may in particular be oligonucleotides of α or β anomers, oligonucleotides of internucleotidic bonding of the phosphorothioate or methyl phosphonate type, or alternatively oligothionucleotides.

20 The first step of a process for the synthesis of nucleic acids on a solid support consists in attaching the first nucleoside of the desired sequence to a solid support, traditionally consisting of glass beads of controlled porosity (CPG) or, more generally, of a functionalized organic or inorganic polymer.

25 The techniques currently used involve the use of eight different reagents as solid supports, consisting of a functionalized organic or inorganic polymer bound to an A, T, C, G or U nucleoside, depending on whether the sequence to be prepared contains A, T, C, G or U as the first deoxyribo- or ribonucleotide. Moreover, manufacturers supply reactors in which one of these nucleosides has already been attached to the support. The appropriate reactor is thus selected depending on whether

30
35

the sequence begins with A, T, C, G or U. Elongation of this first nucleoside then takes place in the 3' → 5' or 5' → 3' direction, by means of coupling reagents. One synthetic cycle, that is to say the coupling between two nucleotides, includes at least three steps: (1) deprotection of the 5' or 3' OH function of a first nucleotide, in particular detritylation, (2) activation of the said 5' or 3' OH function of this first nucleotide and condensation with the 3' or 5' end respectively of a second nucleotide, and, lastly, (3) oxidation of the phosphite group of the internucleotide bond obtained to phosphate.

The oligonucleotide is preferably synthesized in the 3' → 5' direction. In this case, the starting material is a 5' OH-protected nucleoside attached to the support via the 3' end of the deoxyribose or ribose ring. The nucleotides which are subsequently added are in the form of a 5'-protected derivative whose 3' hydroxyl possesses a substituted phosphite or phosphate group.

Different methods are distinguished depending on the type of substitution on the phosphate: the phosphoramidite method, described in particular in EP 61,746 and US 4,458,066, is nowadays one of the methods of choice since it makes it possible to achieve high coupling yields (greater than 98%). The 3' hydroxyl thus possesses a phosphoramidite group (see Figure 1). Besides the importance of these groups for the solubility of the nucleosides in the organic solvent, the phosphoramidite group renders the phosphorus atom more susceptible to attack by a primary hydroxyl function, such as that in the 5' position of the detritylated growing nucleosides or chains. The deprotected 5' hydroxyl function becomes sufficiently nucleophilic to react with the phosphoramidite group of the second nucleotide.

The solid phase syntheses of DNA and RNA have great similarities. The monomers and the supports are different but the instrumentation and the reagents are identical.

The oligonucleotides obtained at the end of the synthetic cycles must be detached from the support and the protective functions must be removed. Cleavage of the support, deprotection of the bases and removal of the group bonded to the phosphorus are carried out simultaneously in aqueous ammonia solution. In the case of RNA, ethanol makes it possible to solubilize the 2'-O-silyl-oligoribonucleotides and to minimize the desilylation, native RNA not being stable under basic conditions. The aqueous ammonia/ethanol solution containing the oligoribonucleotide which has passed into the liquid phase is then separated from the glass support and evaporated. Removal of the silyl groups takes place in the presence of tetrabutylammonium fluoride (TBAF) at room temperature for sixteen hours. The TBAF is then neutralized with TEAA (triethylammonium acetate).

Other methods also exist, in particular the so-called phosphotriester method, phosphodiester method, H-phosphonate method and, lastly, phosphite method.

A solid support which may be used for the automated synthesis of oligonucleotides must satisfy the following characteristics:

- 1) the solid support must react selectively with the functionalized 3' end of the nucleotide in particular of the phosphoramidite, H-phosphonate, phosphotriester, phosphodiester or phosphite type or with any other monomer reagent according to the synthetic method used;
- 2) the support-oligonucleotide bond must be stable under the conditions of the synthesis, and
- 3) the support-oligonucleotide bond must be able to be hydrolyzed at the end of the synthesis under the conditions for the step of deprotection of the oligonucleotide, and
- 4) the covalent bond between the support and oligonucleotide must be such that, during the detachment, the released oligonucleotide is of native type, that is to say that the 3' terminal hydroxyl function is free or does not bear any

residue derived from the synthesis.

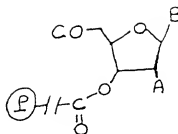
Many supports have already been described in the literature for the solid phase synthesis of oligonucleotides.

5 These supports may consist of organic polymers such as polystyrene (Nucleic A. Res. 1980, volume 8), polyacrylamide acryloylmorpholide, polydimethylacrylamide polymerized on kieselguhr (Nucleic Ac. Res. 9(7) 1691 (1980)).

10 Other supports described are of inorganic nature, in particular based on silica functionalized with a hydrocarbon radical bearing an NH_2 and/or COOH group (J.Am. Chem., 105, 661 (1983), or the support based on silica functionalized with a 3-aminopropyltriethoxysilane
15 group whose use in phosphite and phosphoramidite synthesis for the preparation of oligonucleotides was described for the first time in European patent No. 0,035,719.

 However, these supports have significant defects:
20 they are not universal and can only be used in oligonucleotide synthesis after prior preparation of the corresponding nucleoside derivatives thereof, for example CPG-A, CPG-G, CPG-T, CPG-C or CPG-Da, CPG-dG, CPG-dU, CPG-Dc; the preparation of these derivatives also
25 involves a prior preparation of the 3'-p-nitrophenylsuccinate-nucleoside which requires more time and considerable expense of reagent.

 In order to fulfil the four conditions described above, and in particular the last one, the supports
30 currently used are bound to the first ribonucleoside or deoxyribonucleoside of the sequence to be synthesized, as described above. In particular, there is no phosphate group between the 3' (or 5') end of the first nucleotide or nucleoside and the functionalized polymer. In order to
35 start the synthesis, the operator must thus select from among supports corresponding in general to a formula as follows:



in which:

- A is a hydrogen atom (deoxyribonucleoside) or an optionally protected hydroxyl group (ribonucleoside),
- 5 - B is a purine or pyrimidine base whose exocyclic amide function is optionally protected. These protective agents, generally benzoyl or isobutyryl, also assist in the solubilization thereof in the organic solvents used in the course of the synthesis,
- 10 - C is the usual temporary protecting group for the 5' terminal function, in general of the trityl type such as dimethoxytrityl,
- P is the solid support consisting of an organic or inorganic polymer connected directly to the 3' end, optionally substituted with a divalent hydrocarbon radical connected via an ester bond in the 3' position of the nucleoside.
- 15

One aim of the present invention is to provide a process for the solid phase synthesis of oligonucleotides, more particularly a process of automatic synthesis, in which a so-called "universal" support is used. The expression "universal support" refers here to a solid support which may be used irrespective of the first RNA or DNA nucleotide to be synthesized, and irrespective of the type of monomer reagent used during the synthesis, that is to say irrespective of the type of substitution on the phosphate group in the 3' position or in the 5' position depending on whether the synthesis is carried out in the 5' → 3' or 3' → 5' direction.

20

25

30

Another aim of the present invention is to be able to use this "universal support" in a process involving the same reaction conditions as in the automated solid phase syntheses.

5 In particular, one aim of the present invention is that the monomer reagent serving to attach the first nucleotide to the solid support should be a monomer reagent identical to the monomer reagent serving to attach the other nucleotides of the sequence during the
10 synthesis, in particular as regards the 5' protection and the 3' protection.

Another aim is also that the solid support should be in accordance with the four characteristics mentioned above.

15 In particular, one difficulty in the aim that the present invention wishes to address resides in the fact that the first nucleotide which is introduced contains a 3' or 5' phosphate group which must, after cleavage between the support and the oligonucleotide under the
20 usual conditions of deprotection in basic medium, be capable at the end of the synthesis of liberating an end 3' or 5' OH, depending on the case.

To make such a universal support was hitherto considered as inconceivable on account of the apparent
25 incompatibility between the need to synthesize a 3' OH oligonucleotide, for example, and the direct use, from the very first base, of a reagent identical to the usual monomer reagents bearing a phosphate group in the terminal 3' position.

30 According to the present invention, we have succeeded in functionalizing the polymer of the solid support with a hydrocarbon radical containing a reactive group such that:

1) the group can be coupled to a protected 3' or 5' end
35 of the monomer reagents, under the same conditions as those for which the 3' or 5' end of the terminal nucleotide in the chain already synthesized are coupled with the 5' or 3' end respectively of the next monomer reagent to be attached, and

- 2) the final cleavage of the covalent bond between the solid support and the oligonucleotide, via this group, takes place under the conditions of the final deprotection of the oligonucleotide, and
- 5 3) the hydroxyl function at the terminal 3' or 5' end can be free or, more generally, such that the terminal phosphate group of the first nucleotide remains on the support.

The solid phase "universality" of the supports according to the present invention is obtained by means of a functionalization of the inorganic or organic polymer with a hydrocarbon radical containing groups of the glycol type in which an OH group and a nucleophilic group are vicinally arranged, that is to say located on two adjacent carbons, at the end of the hydrocarbon radical, it being optionally possible for these two carbons to be substituted with inert groups.

The expression "inert group" refers here to a group which does not react under the conditions encountered during the various steps of the synthesis according to the invention of nucleic acids on a solid support.

The subject of the present invention is thus a process for the preparation of nucleic acids by synthesis on a solid support, characterized in that an inorganic or organic polymer is used as solid support, which polymer is connected via a divalent hydrocarbon radical to an epoxide group or a group of the glycol type, the latter group consisting of two adjacent saturated carbons on which an OH group and a nucleophilic group are respectively substituted.

The first nucleotide is advantageously attached to the solid support under the same conditions and with the same monomer reagent as for the condensation of the second nucleotide with the first nucleotide bonded to the support, which may be the conventional conditions and monomer reagents used during the synthesis of nucleic acids on a solid support, the said first nucleotide corresponding to the first nucleotide in the sequence of

the said nucleic acid.

In one particular embodiment, the process of the invention comprises the following steps of:

- 5 1) condensation of the 5' or 3' OH group of the first nucleotide or of an oligonucleotide connected at its other 3' or 5' end to the said solid support, using a coupling agent, with the phosphate group optionally substituted in the 3' or 5' position respectively of a nucleotide monomer reagent protected in the 3' and 5' positions;
- 10 2) oxidation or sulfurization of the internucleotide bond of the phosphite type obtained in step 1) to a phosphate bond respectively.
- 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
- 15 4) repetition of steps 1) to 3) as many times as there are nucleotides to be added in order to synthesize the nucleic acid.

More precisely, the process may comprise the following steps of:

- 20 1) condensation, using a coupling agent, of the said OH group of the said group of glycol type of the solid support with a phosphate or phosphite group optionally substituted in the 3' or 5' position of a nucleotide monomer reagent protected in the 5'-O and 3-O positions;
- 25 2) oxidation or sulfurization of the covalent bond of the phosphite type between the solid support and the first nucleotide obtained in step 1);
- 30 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
- 4) condensation of the 5'OH or 3'OH group of the product obtained in step 3) with the phosphate, phosphorothioate or phosphite group optionally substituted in the 3' or 5' position of a nucleotide monomer reagent protected in the 5'-O or 3'-O position respectively, using the said coupling agent, under the same conditions as in step 1);
- 35 5) oxidation or sulfurization of the internucleotide

grouping of the phosphite phosphite [sic] type resulting from the above step into a grouping of the phosphate or phosphorothioate type respectively;

- 6) deprotection of the 5'-O or 3'-O end of the product obtained in step 5);
- 7) repetition of steps (4), (5) and (6) as many times as there are nucleotides to be added in order to obtain the nucleic acid to be prepared.

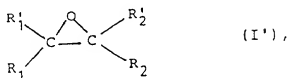
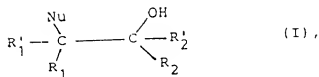
The above steps lead to an oligonucleotide connected to the solid support. In an appropriate manner, the process according to the invention includes a final step of detachment of the nucleic acid from the support and removal of the protecting groups from the bases and, where appropriate, from the 2'-O positions of the nucleic acid.

In the prior techniques in which the solid support is already connected to a first nucleoside corresponding to the first nucleotide of the sequence to be prepared, before starting the synthetic cycles, the said support generally contains a protection of the said nucleoside in the 5' or 3' position. In this case, the synthetic cycle begins with a step of deprotection in acid medium, generally a detritylation with TFA, DCA or TCA in dichloromethane.

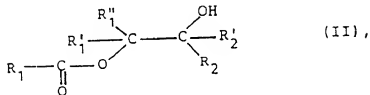
According to the present invention, the process may also begin with a deprotection step and a support according to the invention containing an epoxide group may then be used as initial solid support.

The process according to the invention comprises in this case a prior step of opening of the said epoxide group of the said solid support, in an anhydrous acidic medium, under the usual conditions for the deprotection of the 5' or 3' OH groups in order to give the said group of the glycol type of the solid support.

Another subject of the present invention is compounds of the following formulae and their use as solid supports in a process for the synthesis of nucleic acids according to the invention:



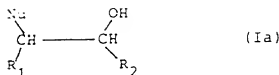
or



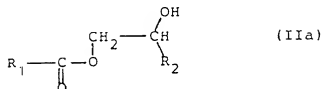
in which:

- one of R_1 , R'_1 , R''_1 , R_2 and R'_2 represents an inorganic or organic polymer - \odot or a hydrocarbon radical substituted with an inorganic or organic polymer, and
- the others represent H or an inert group such as an alkyl group which is optionally substituted, in particular with one or more halogen(s),
- Nu is a nucleophilic group such as NH_2 , -O-Alk, -NHAlk, -N(Alk)₂, -NHAc, -OAc, -S-Ac, -S-Alk or Halogen; the groups Alk and Ac being C_1 to C_7 , preferably C_1 to C_4 alkyl and acyl groups respectively, which are optionally substituted, in particular with one or more halogen(s). Mention is made more particularly of the compounds for which Nu is -N(Alk)₂, -NHAc, -O-Ac, -SAc and a halogen.

In an appropriate embodiment, the said solid support takes up [sic] one of the formulae:

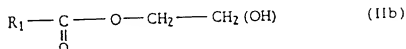
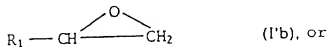
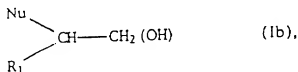


or



in which R_1 , R_2 and Nu have the meanings given above.

Even more simply, the said compound corresponds to one of the formulae:



According to one embodiment variant, R_1 and R_2 or R'_1 and R'_2 together form a ring, in particular a heterocycle, on which the polymer is found substituted.

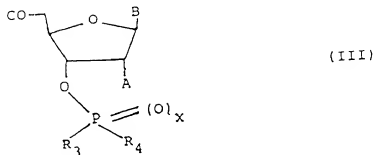
In particular, it is possible for (R_1 and R_2) or (R'_1 and R_2) together to form a ribose and for Nu to represent the 2'-O function protected with a protecting

group such that Nu represents $\text{CH}_3\text{---}\overset{|}{\text{C}}=\text{O}$, for example.

In an appropriate manner, in the process for the synthesis of the nucleic acids according to the invention, the said solid support consists of a compound (I), (Ia), (Ib), (II), (IIa), (IIb) or (I') and (I'b) according to the invention.

According to the variants most commonly used, the

said nucleotide monomer reagent corresponds to the formula:

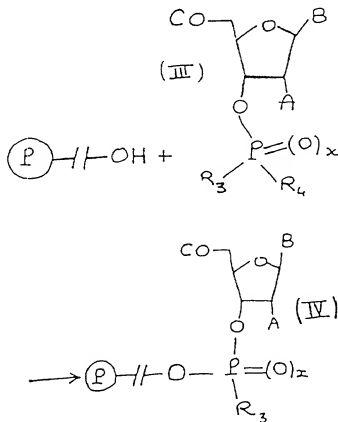


in which:

- A represents H or an optionally protected hydroxyl group,
- B is a purine or pyrimidine base whose exocyclic amine function is optionally protected,
- C is a conventional protecting group for the 5'-OH function,
- $x = 0$ or 1 , with
 - a) when $x = 1$:
 - R_3 represents H and R_4 represents a negatively charged oxygen atom, or
 - R_3 is an oxygen atom and R_4 represents either an oxygen atom or an oxygen atom bearing a protecting group, and
 - b) when $x = 0$, R_3 is an oxygen atom bearing a protecting group and R_4 is either a halogen or a disubstituted amine group.
- When x is equal to 1 , R_3 is an oxygen atom and R_4 is an oxygen atom, this situation relates to the so-called phosphodiester method, when R_4 is an oxygen atom bearing a protecting group, this situation relates to the so-called phosphorotriester method.
- When x is equal [lacuna] 1 , R_3 is a hydrogen atom and R_4 is a hydrogen atom and R_4 is a negatively charged oxygen atom [sic], this situation relates to the so-called H-phosphosphonate method, and
- when x is equal to 0 , R_3 is an oxygen atom bearing a protecting group and R_4 is either [sic]

a halogen, this situation relates to the so-called phosphite method and, when R_4 is a leaving group of the disubstituted amine type, this situation relates to the so-called phosphoramidite method.

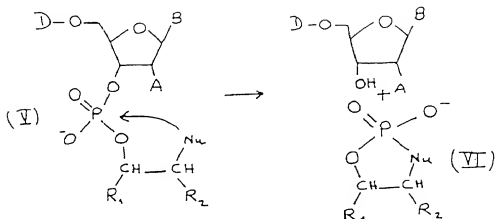
The support-reagents of formula I, I' and II according to the present invention react with the usual monomer reagents III, under the usual conditions of condensation in acidic medium in the methods for the synthesis of nucleic acids on a solid support, according to the following scheme:



In the formulae III and IV, \odot , A, B, C, D, R_3 , R_4 and x have the meanings given above.

In addition, under the conditions of the final detachment and deprotection step, which takes place after the last oxidation step, the oligonucleotide synthesized is separated from the support such that the (3' or 5') phosphate group remains attached to the support. In the case of a synthesis in the 3' \rightarrow 5' direction, the reaction scheme below illustrates this last step, when

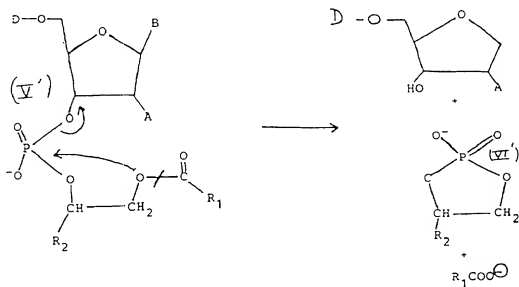
the solid support of the formula I or I' is used:



In the compounds (V) and (VI), D represents an oligonucleotide, the other parameters have the values given above.

- 5 This reaction takes place in weakly basic medium and leads to a C-5 cyclization by β -elimination.

The compounds of formula (II) in fact correspond to compounds of formula (I) in which the group Nu contains the polymer insofar as the group R_1CO-O is a nucleophilic group. When the solid support of formula II is used, this then gives the following scheme:



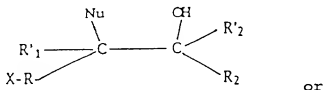
In this scheme, the polymer may be in R_2 , that is to say substituted on the phosphate, ring or in R_1 .

By way of polymer, mention is made of materials consisting of glass microbeads or microfibers, particularly those which are porous, silica, metal oxides or organic polymers, in particular cellulose, or optionally substituted polystyrene.

The polymer is preferably an inorganic polymer made of a glass or silica base, in particular a silica gel base.

The compounds of formulae (I), (I') and (II) may be prepared by processes known to those skilled in the art and using available reagents.

The compounds of formula (I), (I') or (II) may be prepared, for example, from a polymer functionalized with a COOH or NH_2 group which is reacted, in a known manner, with the terminal function $X = NH_2$ or COOH respectively of a compound

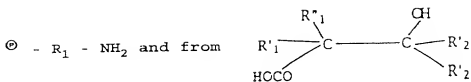


- 20 - Groups Nu and OH are optionally protected with protecting groups;
- R is a divalent residue of a hydrocarbon radical such that $R_1 = \text{---} - R - \text{---}$.

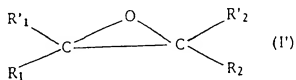
An amide bond is thus established. Obviously, in the above scheme, X - R may just as easily be substituted at R'_1 .

The compounds of formulae (I') and (II) may also be prepared according to this same type of reaction, starting with $\text{---} - NH_2$ and a compound where X - R is substituted to R_1 , R'_1 or R''_1 in the said formulae.

The compounds of formulae [sic] (I') may also be prepared from

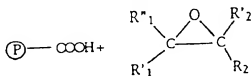


When the solid support is represented by the formula (I), it may also be prepared by a reaction of opening of the epoxide ring of formula



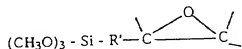
- 5 in anhydrous, acidic or basic medium, according to an SN₁ or SN₂ substitution mechanism respectively, in the presence of HNu in the medium, where Nu represents the said nucleophilic group.

- 10 When the solid support is represented by the formula (II) with © being included in R₁, it may be prepared starting with a polymer functionalized with a carboxyl function (this type of polymer is commercially available) according to the following scheme:



under the conditions illustrated in Example 6.

- 15 When the inorganic polymer [lacuna] made of silica, the Si - OH groups thereof may be reacted with compounds



- 20 R' is such that © - Si - R' - represents R₁ under conditions known to those skilled in the art, for example at 50°C as illustrated in Example 1, where the compound (I) is obtained by means of the surface treatment of the

solid phase with 10% glycidyloxypropyltrimethoxysilane in acetonitrile solution or by another reagent containing an epoxide, followed by an opening of the epoxide ring under controlled conditions.

5 The advantages of a solid support according to the invention and the use thereof in the process for the synthesis of nucleic acids, in particular the automatic synthesis, are manifold:

- 10 - it is extremely simple to manufacture when compared with the usual supports;
- its capacity in moles per gram is identical to that of the standard supports;
- the principle thereof may be applied to all types of materials used as solid support (CPG, polymeric
- 15 phases, membranes, etc.);
- the parameters of the synthesis of oligonucleotides are not modified, the support is compatible with all synthesizers;
- in a process for the synthesis of DNA or RNA, the
- 20 deprotection step is carried out under the same conditions as for a standard support;
- in a process for the synthesis of DNA or RNA, there is no additional step [lacuna] the user of the support;
- 25 - the support can especially be exploited for the manufacture of oligonucleotides modified at the terminal 3' end by using directly, in the first cycle, monomers corresponding to the desired nature of the modification;
- 30 - the fact of having only one support to manufacture results in a simplification and a substantial reduction in the cost of the synthesis of the oligonucleotides;
- the universal support considerably simplifies the
- 35 management of the various reactors currently required for the synthesis of oligonucleotides;
- lastly, the universal support makes it possible to design a multireactor synthetic system which is considerably simplified by the independence of each

498 nm, thereby making it possible to assay it and to estimate the yield for the reaction. During the condensation step, the phosphoramidite group of the monomer reagent, delivered in large excess, is activated by tetrazole and reacts with the free terminal 5' hydroxyl to form an internucleotide bond of phosphite type.

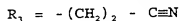
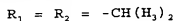
The unstable (trivalent) phosphite is then oxidized to (pentavalent) phosphotriester in the presence of water and iodine.

The coupling yield is from 97 to 99%; it is necessary to render unreactive the 5' hydroxyls of the unreacted oligonucleotides. This operation makes it possible to avoid extension of these truncated chains during the following cycles. This fourth step of "capping" consists of an acetylation of the 5' hydroxyls with acetic anhydride and N-methylimidazole.

More precisely, the reagents used in the various steps are as follows:

1) Detritylation and coupling:

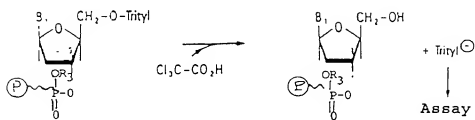
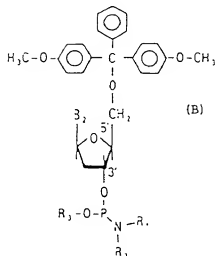
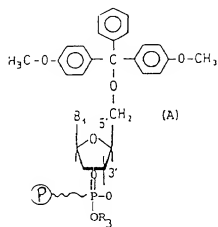
Formulae A and B below schematically represent the nucleoside attached to the support and the phosphoramidite monomer reagent respectively, with



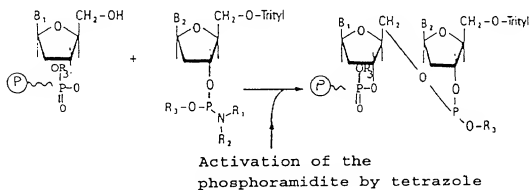
Scheme 1 represents the detritylation.

Scheme 2 represents the condensation.

Nucleoside attached to the support: Phosphoramidite:

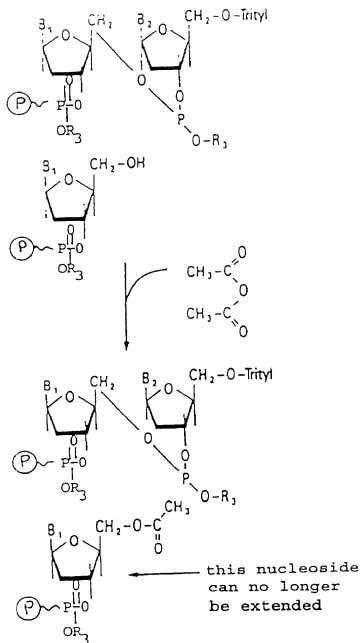


Scheme 1



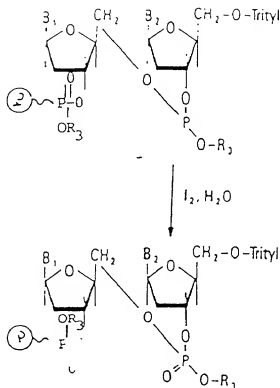
Scheme 2

2) Capping:



Scheme 3

3) Oxidation:



Scheme 4

EXAMPLE 1

1 g of porous glass powder (CPG 00350C[®]; f; CPG
5 INC. USA) in 5 ml of a 10% solution of
3-glycidyloxypropyltrimethoxysilane

10 $[(\text{Me-O})_3\text{-Si-(CH}_2)_3\text{-O-CH}_2\text{-CH}_2]$ in acetonitrile, the
mixture is left stand for 30 minutes at a temperature
of 50°C and the support is then separated out by
filtration, washed with acetonitrile (3 x 5 ml) and dried
under vacuum.

15 The number of oxy groups is determined, after
opening of the epoxide ring, by means of the reaction of
dimethoxytrityl chloride in pyridine followed by absorp-
tion spectrophotometric measurement of the trityl cation
in a mixture of perchloric acid and ethanol at 495 nm. A
capacity of 50-100 micromol per 1 g of support is
obtained.

EXAMPLE 2

The reactor is filled with 1 mg of support, obtained in Example 1, and the oligonucleotide d(ATGC) is synthesized by the standard phosphoramidite method described above, with a first step under detritylation conditions which opens the epoxide ring. After the synthesis, the oligo-CPG is heated for one hour at 100°C in 30 microliters of concentrated aqueous ammonia solution. For the purposes of analysis, the oligonucleotide is freed, the last nucleotide of which is protected in the 5' position, referred to hereinafter as ON-trityl for short, using HPLC on a reverse phase column. About 90% of ON-trityl oligonucleotide are obtained.

EXAMPLE 3

The synthesis of Example 2 was performed with a synthesis of d(AGTC) by the H-phosphonate method.

As regards the synthesis of oligodeoxynucleotides by the H-phosphonate method, the following are used:

- the monomers already described (formula III);
- the principle of the synthesis is identical to that of the phosphoramidite method with the following few differences:
 - the activation agent used is either adamantoyl chloride or pivaloyl chloride,
 - only one oxidation step is carried out at the end of the synthesis;
 - the deprotection is carried out under the same conditions as for the phosphoramidites.

EXAMPLE 4

The synthesis was performed with the same support as in Example 2, with a synthesis of AGTC in the RNA series.

As regards the synthesis of oligoribonucleotides (RNA), the monomers are of the type 5'-O-dimethoxytrityl-3'-O- β -cyanoethoxydiisopropylaminophosphine-2'-O-tert-butyltrimethylsilyl-nucleosides (formula III with A = tert-butyltrimethylsilyl).

The synthetic method is the so-called phosphoramidite method. As described above, the deprotection requires an additional step.

EXAMPLE 5

5 The support obtained in Example 1 is washed in the reactor with an HCl solution at a concentration of 1% of dichloromethane. A support of the glycol type with Nu = Cl is obtained and the synthesis is carried out, again under the standard conditions of the phosphoramidite method. The treatment and the detachment of the oligonucleotide is [sic] carried out as in Example 2. About 90% of ON-trityl oligonucleotide are obtained.

EXAMPLE 6

15 A membrane in the form of a glass fiber disc (\varnothing 4.7 cm, 1 g, f. WATMAN)[®] is treated as in Example 1.

A support with a capacity of 20 μ mol of oxy groups per 1 g of support is obtained.

EXAMPLE 7

20 Using the disc obtained in Example 4 [sic], a disc is cut (\varnothing 4 mm, 1 mg) and the synthesis, the treatment and the detachment of the oligonucleotides d(AGTC) is [sic] performed as in Example 3.

At least 90% of ON-trityl oligonucleotide are obtained.

EXAMPLE 8

25 1 g of the support, containing a carboxymethyl CPG CML[®] 00350C (CPG INC), is treated with 5 ml of ethylene oxide solution at a concentration of 10% of dichloromethane at a temperature of 50°C for one hour.

30 The support is isolated by filtration, washed with dichloromethane and dried under vacuum.

A support with a capacity of 50-100 μ mol of oxy groups per 1 g of support is obtained.

CLAIMS

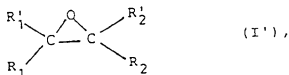
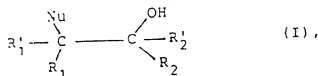
1. Process for the preparation of a nucleic acid by synthesis on a solid support, characterized in that an inorganic or organic polymer is used as solid support, which polymer is connected via a divalent hydrocarbon radical to an epoxide group or a group of the glycol type, the latter group consisting of two adjacent saturated carbons on which an OH group and a nucleophilic group are respectively substituted.
2. Process according to Claim 1, characterized in that the first nucleotide is advantageously attached to the solid support under the same conditions and with the same monomer reagent as for the condensation of the second nucleotide with the first nucleotide bonded to the support, which may be the conventional conditions and monomer reagent used during the synthesis of nucleic acids on a solid support, the said first nucleotide corresponding to the first nucleotide in the sequence of the said nucleic acid.
3. Process according to either of Claims 1 and 2, characterized in that it comprises the following steps of:
- 1) condensation of the 5' or 3' OH group of the first nucleotide or of an oligonucleotide connected at its other 3' or 5' end to the said solid support, using a coupling agent, with the phosphate group optionally substituted in the 3' or 5' position respectively of a monomer nucleotide reagent protected in the 3' and 5' positions;
 - 2) oxidation or sulfurization of the internucleotide bond of the phosphite type obtained in step 1) to a phosphate or phosphorothioate bond respectively.
 - 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
 - 4) repetition of steps 1) to 3) as many times as there are nucleotides to be added in order to synthesize the nucleic acid.
4. Process according to either of Claims 1 and 2, characterized in that it comprises the following steps

of:

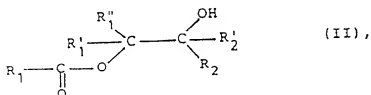
- 1) condensation, using a coupling agent, of the said OH group of the said group of glycol type of the solid support with a phosphate or phosphite group optionally substituted in the 3' or 5' position of a monomer nucleotide reagent protected in the 5'-O and 3'-O positions;
 - 2) oxidation or sulfurization of the covalent bond of the phosphite type between the solid support and the first nucleotide obtained in step 1);
 - 3) deprotection of the 5'-O or 3'-O end of the product obtained in step 2);
 - 4) condensation of the 5'OH or 3'OH group of the product obtained in step 3) with the phosphate, phosphorothioate or phosphite group optionally substituted in the 3' or 5' position of a monomer nucleotide reagent protected in the 5'-O or 3'-O position respectively, using the said coupling agent, under the same conditions as the condensation in step 1);
 - 5) oxidation or sulfurization of the internucleotide grouping of the phosphite phosphite [sic] type resulting from the above step into a grouping of the phosphate or phosphorothioate type respectively;
 - 6) deprotection of the 5'-O or 3'-O end of the product obtained in step 5);
 - 7) repetition of steps (4), (5) and (6) as many times as there are nucleotides to be added in order to obtain the nucleic acid to be prepared.
5. Process according to Claim 4, characterized in that it includes a final step of detachment of the nucleic acid from the support and removal of the protecting groups from the bases and, where appropriate, from the 2'-O positions of the nucleic acids.
6. Process according to either of Claims 4 and 5, characterized in that it comprises a prior step of opening of the said epoxide group of the said solid support, in an anhydrous acidic medium, under the usual conditions for the deprotection of the 5' or 3' OH groups

in order to give the said group of the glycol type of the solid support.

7. Compounds represented by the following formulae:



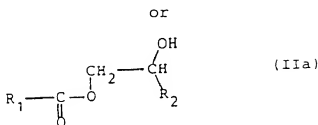
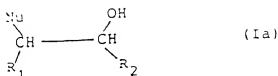
or



in which:

- 5 - one of R_1 , R'_1 , R''_1 , R_2 and R'_2 represents an inorganic or organic polymer or a hydrocarbon radical substituted with an inorganic or organic polymer, and the others are identical or different and represent, independently of each other, H or an inert group such as an alkyl group which is optionally substituted, in particular with one or more halogen(s),
- Nu represents a nucleophilic group such as NH_2 ,
- 15 Halogen -Oalk, -Salk, -NHalk, -NHAc, -OAc, -SAC or -N(Alk)₂, where Alk and Ac respectively represent an alkyl and acyl group, which is optionally substituted, in particular with one or more halogen(s).
8. Compounds according to Claim 7, characterized in
- 20 that Nu represents -N(Alk)₂, -NHAc, -OAc, -SAC or a halogen, where Alk and Ac respectively represent a C₁ to C₄ alkyl and acyl group optionally substituted with one or more halogen(s).
9. Compounds according to Claim 7 or 8, charac-
- 25 terized in that the said solid support corresponds to one

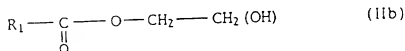
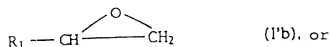
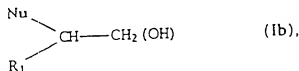
of the formulae:



in which R_1 , R_2 and Nu have the meanings given in Claim 7.

10. Compound according to Claim 9, characterized in that the said compound corresponds to one of the formulae:

5



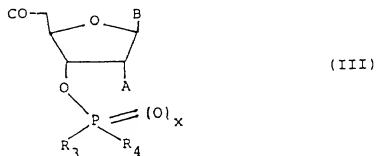
11. Compound according to one of Claims 7 to 9, characterized in that (R_1 and R_2) or (R'_1 and R'_2) together form a ring, in particular a heterocycle, on which the polymer is found substituted.

10 12. Composition according to Claim 11, characterized in that (R_1 and R_2) or (R'_1 and R'_2) together form a ribose ring and Nu represents the 2'-O function protected with a protecting group such as $\text{CH}_3 - \text{C}(=\text{O}) - \text{O}$.

13. Process according to one of Claims 1 to 6, characterized in that the said solid support consists of a compound according to one of Claims 7 to 10.

a compound according to one of Claims 7 to 10.

14. Process according to one of Claims 2 to 6 and 13, characterized in that the said nucleotide monomer reagent corresponds to the formula:



5 in which:

- A represents H or an optionally protected hydroxyl group,
- B is a purine or pyrimidine base whose exocyclic amine function is optionally protected,
- 10 - C is a conventional protecting group for the 5'-OH function,
- $x = 0$ or 1 , with
 - a) when $x = 1$:

15 R_3 represents H and R_4 represents a negatively charged oxygen atom, or
 R_3 is an oxygen atom and R_4 represents either an oxygen atom or an oxygen atom bearing a protecting group, and

20 b) when $x = 0$, R_3 is an oxygen atom bearing a protecting group and R_4 is either a halogen or a disubstituted amine group.

15. Process according to Claim 14, characterized in that it is a phosphoramidite synthesis process in which the monomer reagent corresponds to the formula (III) with
 25 $x = 0$, R_3 is an oxygen atom bearing a protecting group and R_4 is a disubstituted amine group.

16. Process according to one of Claims 1 to 6 and 13 to 15, characterized in that the polymer is in the form of glass microbeads or microfibers, in particular porous
 30 ones, silica, metal oxides, cellulose or organic polymer, in particular cellulose.

17. Process according to one of Claims 1 to 6 and 13 to 16, characterized in that the polymer is an inorganic polymer made, in particular, of a glass or silica base.

ATTY DK No. _____

Declaration and Power of Attorney For Patent Application

English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PROCESS FOR THE SYNTHESIS OF NUCLEIC ACIDS ON A SOLID SUPPORT AND COMPOUNDS WHICH ARE USEFUL IN PARTICULAR AS SOLID SUPPORTS IN THE SAID PROCESS, the specification of which (check one of the following)

☐ is attached hereto; ☐ was filed on _____ as Application Serial

No. _____ and was amended on _____ (if applicable);

☒ was filed as PCT International Application No. PCT/FR94/00842

on JULY 7, 1994 and was amended under PCT Article 19 on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

| | | |
|-------------------|-------------------|------------------------|
| <u>93 08498</u> | <u>FRANCE</u> | <u>9.07.1993</u> |
| (Number) | (Country) | (Day/Month/Year Filed) |
| <u> </u> | <u> </u> | <u> </u> |
| (Number) | (Country) | (Day/Month/Year Filed) |
| <u> </u> | <u> </u> | <u> </u> |
| (Number) | (Country) | (Day/Month/Year Filed) |

| | |
|-------------------------------------|--------------------------|
| <input checked="" type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |
| <input type="checkbox"/> | <input type="checkbox"/> |
| Yes | No |

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| | | |
|--------------------------|---------------|--|
| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |
| (Application Serial No.) | (Filing Date) | (Status) (patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

HARVEY B. JACOBSON, JR (20,851) ; D. DOUGLAS PRICE (24,514) ; JOHN CLARKE HOLMAN (22,769) ; MARVIN R. STERN (20,640) ; MICHAEL R. SLOBASKY (26,421) ; JONATHAN L. SCHERER (29,851) ; STANFORD W. BERMAN (17,909) ; IRWIN M. AISENBERG (19,007) ; WILLIAM E. PLAYER (31,409)

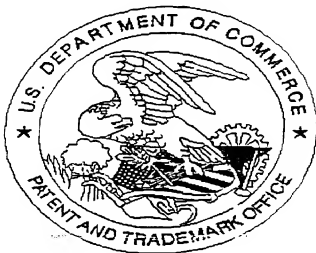
Send Correspondence to:

JACOBSON, PRICE, HOLMAN & STERN
THE JENIFER BUILDING
400 SEVENTH STREET, N.W.
WASHINGTON, D.C. 20004-2201

Direct Telephone Calls to: (name and telephone number) (202) 638-6666

| | | | | |
|---|--|--|--|----------------------|
| FULL NAME OF SOLE OR FIRST INVENTOR Ludmilla BARANOVA | | INVENTOR'S SIGNATURE <i>L. Baranova</i> | | DATE Jan. 3, 1995 |
| RESIDENCE 18 avenue de Lespinasse - 93250 VILLEMONBLE / FRANCE | | CITIZENSHIP RUSSIAN | | |
| POST OFFICE ADDRESS The same as residence | | | | |
| FULL NAME OF SECOND JOINT INVENTOR, IF ANY François CHATELAIN | | INVENTOR'S SIGNATURE <i>[Signature]</i> | | DATE Jan. 3, 1995 |
| RESIDENCE 14 rue de Pali Kao - 75020 PARIS / FRANCE | | CITIZENSHIP FRANCE | | |
| POST OFFICE ADDRESS The same as residence | | | | |
| FULL NAME OF THIRD JOINT INVENTOR, IF ANY Viktor KUMAREV | | INVENTOR'S SIGNATURE <i>[Signature]</i> | | DATE Jan. 3, 1995 |
| RESIDENCE 18 avenue de Lespinasse - 93250 VILLEMONBLE / FRANCE | | CITIZENSHIP RUSSIAN | | |
| POST OFFICE ADDRESS The same as residence | | | | |

United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

1. Application papers are not suitable for scanning and are not in compliance with 37 CFR 1.52 because:
 - ☐ All sheets must be the same size and either A4 (21 cm x 29.7 cm) or 8-1/2" x 11". Pages _____ do not meet these requirements.
 - ☐ Papers are not flexible, strong, smooth, non-shiny, durable, and white.
 - ☐ Papers are not typewritten or mechanically printed in permanent ink on one side.
 - ☐ Papers contain improper margins. Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4").
 - ☐ Papers contain hand lettering.
2. Drawings are not in compliance and were not scanned because:
 - ☐ The drawings or copy of drawings are not suitable for electronic reproduction.
 - ☐ All drawings sheets are not the same size. Pages must be either A4 (21 cm x 29.7 cm) or 8-1/2" x 11".
 - ☐ Each sheet must include a top and left margin of at least 2.5 cm (1"), a right margin of at least 1.5 cm (9/16") and a bottom margin of at least 1.0 cm (3/8").
3. Page(s) _____ are not of sufficient clarity, contrast and quality for electronic reproduction.
4. Page(s) _____ are missing.
5. OTHER No Drawings